



1413 / Prior Art  
T. McBeth Brown  
12/16/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DARRELL D. BOGGS, ET AL.

Application No.: 09/473,575

Filed: December 28, 1999

For: **METHOD AND APPARATUS FOR  
MANAGING RESOURCES IN A  
MULTITHREADED PROCESSOR**

Art Group: 2151

Examiner:

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DUPPLICATE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 CFR § 1.97(e)(1), it is hereby stated that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

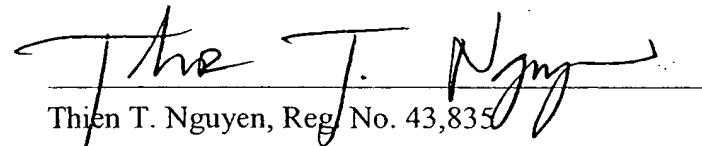
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

If any fees are required, please charge Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

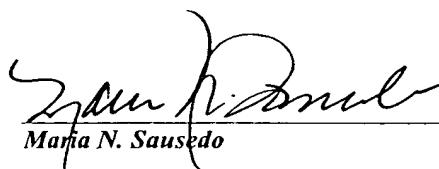
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 1/2/02

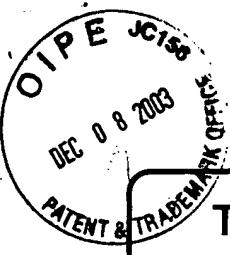
  
Thien T. Nguyen, Reg No. 43,835

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: 01/02/02.

 Maria N. Sausedo 01/02/02  
*Date*

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application No.

09/473,575

Filing Date

December 28, 1999

First Named Inventor

Darrell D. Boggess

Art Unit

2127

Examiner Name

Lilian Vo

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### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

PTO/SB/08

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Basic Filing Fee

Declaration/POA

Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s)

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please identify below)

Cited references not included in page count

### Remarks

Applicant respectfully brings to the Examiner's attention an unconsidered IDS filed 01/02/02, and as stated in response to the Office Action of 09/10/03, provides a duplicate copy of this filing for the Examiner's convenience.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

James Henry, Reg. No. 41,064

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

December 3, 2003

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Pat Sullivan

Signature

Pat Sullivan

Date

December 3, 2003



# FEET TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$)

**Complete if Known**

Application Number	09/473,575
Filing Date	December 28, 1999
First Named Inventor	Darrell D. Boggs
Examiner Name	Lilian Vo
Group/Art Unit	2127
Attorney Docket No.	42390P6871

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Fee Paid

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>			

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
		83**	=	
		7	=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>		<b>(\$)</b>			

\*\*or number previously paid, if greater. For Reissues, see below

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Code
1051	130	2051 65 Surcharge - late filing fee or oath
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet
2053	130	2053 130 Non-English specification
1812	2,520	1812 2,520 For filing a request for ex parte reexamination
1804	920*	1804 920 * Requesting publication of SIR prior to Examiner action
1805	1,840*	1805 1,840 * Requesting publication of SIR after Examiner action
1251	110	2251 55 Extension for reply within first month
1252	420	2252 210 Extension for reply within second month
1253	950	2253 475 Extension for reply within third month
1254	1,480	2254 740 Extension for reply within fourth month
1255	1,210	2255 605 Extension for reply within fifth month
1404	330	2401 165 Notice of Appeal
1402	330	2402 165 Filing a brief in support of an appeal
1403	290	2403 145 Request for oral hearing
1451	1,510	2451 1,510 Petition to institute a public use proceeding
1452	110	2452 55 Petition to revive - unavoidable
1453	1,330	2453 665 Petition to revive - unintentional
1501	1,330	2501 665 Utility issue fee (or reissue)
1502	480	2502 240 Design issue fee
1503	640	2503 320 Plant issue fee
1460	130	2460 130 Petitions to the Commissioner
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)
1806	180	1806 180 Submission of Information Disclosure Stmt
8021	40	8021 40 Recording each patent assignment per property (times number of properties)
1809	770	1809 385 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801 385 Request for Continued Examination (RCE)
1802	900	1802 900 Request for expedited examination of a design application
Other fee (specify)		

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$)

## SUBMITTED BY

Name (Print/Type)	James Henry	Registration No. (Attorney/Agent)	41,064	Telephone	(714) 557-3800
Signature				Date	12/03/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 08/11/2003.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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LOS ANGELES

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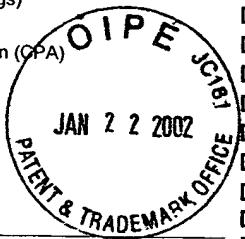
Application No.: 09/473,575 Filing Date: 12/28/99 BSTZ Docket #: 42390P6871 Atty/Sec: EHT/TTN/mns  
Date Mailed: 01/02/02 Docket Due Date(s): Client: Intel Corporation  
Title METHOD AND APPARATUS FOR MANAGING RESOURCES IN A MULTITHREADED PROCESSOR

Inventor(s) Boggs, Weiss

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment: (  ) pgs       Information Disclosure Statement & PTO/SB/08 (  4  ) pgs  
 Appeal Brief & two copies (  ) pgs       Issue Fee Transmittal (original & copy)  
 Application: (  ) pages w/ cover & abstract       Notice of Appeal  
 Assignment & Cover Sheet (  ) pgs       Petition for: \_\_\_\_\_  
 Certificate of Mailing       Request for Continued Examination (RCE)  
 Continued Prosecution Application (CPA)       Reply Brief (  ) pgs  
 Declaration & POA (  ) pgs       Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
 Drawings: (  ) sheets, (  ) figures       Request to Rescind Previous Nonpublication Request  
 Express Mail No: \_\_\_\_\_       Response to Notice of Missing Parts & Formalities Letter  
 Extension of Time: \_\_\_\_\_       Terminal Disclaimer  
 Fee Transmittal (original & copy)       Transmittal of Formal Drawings  
 Other (6) References       Transmittal of Publication Fee Due  
 Transmittal Letter       Check No. in the Amount of \_\_\_\_\_  
 Check No. in the Amount of \_\_\_\_\_

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# DUPLICATE

Application No.: 09/473,575 Filing Date: 12/28/99 BSTZ Docket #: 42390P6871  
Date Mailed: 01/02/02 Docket Due Date(s): Client: Intel Corporation  
Atty/Sec: EHT/TTN/mns  
Title: METHOD AND APPARATUS FOR MANAGING RESOURCES IN A MULTITHREADED PROCESSOR

Inventor(s) Boggs, Weiss

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\_\_\_ pages w/ cover & abstract)
- Assignment & Cover Sheet \_\_\_ pgs
- Certificate of Mailing
- Continued Prosecution Application (CPA)
- Declaration & POA \_\_\_ pgs
- Drawings: \_\_\_ sheets, \_\_\_ figures
- Express Mail No: \_\_\_
- Extension of Time: \_\_\_
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- Transmittal of Formal Drawings
- Transmittal of Publication Fee Due
- Transmittal Letter
- Check No. in the Amount of \_\_\_
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